



IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

PATENT #4

[Signature]
4-12-00

APPLICANT(S): Ting K. Yee and Peter Chang
SERIAL NO.: 09/474,659
FILING DATE: December 29, 1999
TITLE: OPTICAL COMMUNICATIONS USING HETERODYNE DETECTION
EXAMINER: Unknown
GROUP ART UNIT: 2733
ATTY. DKT. NO.: 3982 US

TC 2700 MAIL ROOM

APR 10 2000

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Dated: March 31, 2000

By: *[Signature]*

Michael W. Farn, Reg. No. 41,015

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference, which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application, or date of entry into the national stage of an

international application, or before the mailing date of a first official action on the merits, whichever event last occurred.

Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

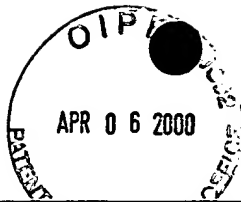
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
TING K. YEE AND PETER CHANG

Dated: March 31, 2000

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PTO/SB/21 (modified)

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0001/PTO Rev. 10/95 TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/474,659	TC 2700 MAIL ROOM APR 10 2000 RECEIVED
		Filing Date	December 29, 1999	
		First Named Inventor	Ting K. Yee	
		Group Art Unit Number	2733	
		Examiner Name	Unknown	
Total Number of Pages in This Submission		10	Attorney Docket Number	3982 US

ENCLOSURES (check all that apply)

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REMARKS:**SIGNATURE OF ATTORNEY OR AGENT**

Signature:			
Attorney/Reg. No.:	Michael W. Farn, Reg. No. 41,015	Dated:	March 31, 2000

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Typed or Printed Name:	Michael W. Farn	Dated:	March 31, 2000